

(Print or Type Responses)

Person \*

1. Name and Address of Reporting

**RUIZ THOMAS MARK** 

**Reporting Owners** 

# UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

#### OMB APPROVAL OMB 3235-Number: 0104 Estimated average burden hours per 0.5 response...

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Statement

02/12/2019

(Month/Day/Year)

2. Date of Event Requiring | 3. Issuer Name and Ticker or Trading Symbol

InfuSystem Holdings, Inc [INFU]

31700 RESEARCH PARK DRIVE		Person(s) to Is			ssuer all applicable)		5. If Amendment, Date Original Filed(Month/Day/Year)		
(Street) MADISON HEIGHTS, MI 48071			X0			Other (specify v) S AND	(specify 6. Individual or Joint/Group Filing(Check Applicable Line)		
(City) (State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned							
1.Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)		3. 4. Nature of Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		rship		
Common Stock			7		Γ	)			
Reminder: Report on a separat	e line for each cla	ass of securities be	eneficially o	wned di	rectly o	or indirectly.		SEC 1473 (7-02)	
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.									
Table II - Derivat	ive Securities Be	eneficially Owned	d (e.g., puts	, calls, w	arran	ts, options, c	onvertible se	curities)	
1. Title of Derivative Security (Instr. 4)  2. Date Ex Expiration (Month/Day/			3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		t of ng	4. Conversion or Exercise Price of	5. Ownership	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amoun Number Shares	t or	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)		
Stock Option (Right to Buy)	07/31/2017	12/08/2024	Common Stock	50,000	)	\$ 2.69	D		
Stock Option (Right to Buy)	<u>(1)</u>	08/18/2026	Common Stock	40,000		\$ 2.76	D		
Stock Option (Right to Buy)	<u>(2)</u>	03/15/2027	Common Stock	25,000	)	\$ 2.15	D		
Stock Option (Right to Buy)	<u>(3)</u>	09/06/2022	Common Stock	25,000	)	\$ 1.98	D		
Stock Option (Right to Buy)	<u>(4)</u>	07/19/2023	Common Stock	25,000	)	\$ 3.36	D		
Restricted Stock Units	03/11/2019(6)	03/12/2019(6)	Common Stock	2,083		\$ <u>(5)</u>	D		

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
RUIZ THOMAS MARK						
31700 RESEARCH PARK DRIVE			VP OF SALES AND MARKETING			
MADISON HEIGHTS, MI 48071						

## **Signatures**

/s/ Thomas M. Ruiz	02/20/2019
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option, representing the right to purchase a total of 40,000 shares, became exercisable in 36 equal monthly installments following the August 18, 2016 date of grant.
- (2) The option, representing the right to purchase a total of 25,000 shares, became exercisable in 36 equal monthly installments following the March 16, 2017 date of grant.
- (3) Stock option vests 33% per year on anniversary date starting September 7, 2018
- (4) Stock option vests 33% per year on anniversary date starting July 19, 2019
- (5) Restricted stock units convert into common stock on a one-for-one basis.
  - The reporting person was awarded restricted stock units on March 11, 2015, which vest in one remaining annual installment on March
- (6) 11th of 2019. Settlement of the underlying shares of common stock will occur on vesting date, assuming continued employment through the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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